AF/ 3623  
JCV

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: NEAL et al.

Attorney Docket No.: DEM1P001

Application No.: 09/741,958

Examiner: Robinson Boyce, Akiba K

Filed: December 20, 2000

Group: 3623

Title: PRICE OPTIMIZATION SYSTEM

Confirmation No.: 7264

**Certificate of Mailing**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450I hereby certify that this correspondence is being  
deposited with the United States Postal Service as First  
Class Mail to: Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450 on February 28, 2005.

February 28, 2005

Signed: 

Kang S. Lim

Dear Sirs:

**INFORMATION DISCLOSURE STATEMENT FILED****UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)**

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_ 37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed

before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.

\_\_\_ 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R. § 1.97(b), but is believed to be filed before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.

X 37 C.F.R. § 1.97(d). This Information Disclosure Statement is filed after the period specified in C.F.R. § 1.97(b) and (c), but is believed to be filed before the payment of the Issue Fee, and enclosed with this Information Disclosure Statement are the following:

X 1) A Certification pursuant to 37 C.F.R. § 1.97(e)\*; and

X 2) A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).


\*37 C.F.R. § 1.97(d) includes the following Certification pursuant to 37 C.F.R. § 1.97(e):

X That no item of information contained in the information statement was cited in communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 1.56(c) more than three months prior to filing of this statement.

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198. The Commissioner is authorized to charge any additional fees that may be due to our Deposit Account No. 50-2766 (Order No. KL-DEM1P001).

**LAW OFFICES OF KANG S. LIM**  
PMB 436  
3494 Camino Tassajara Road  
Danville, CA 94506  
Voice: (925) 570 8198  
Facsimile: (925) 736 3974

Respectfully submitted,

  
Kang S. Lim  
Attorney for Applicant(s)  
Reg. No. 37,491

**CUSTOMER NO. 36088**

\\KSL IDS d

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56  MAR 03 2005 PATENT & TRADEMARK OFFICE	ATTY. DOCKET NO. DEM1P001	SERIAL NO. 09/741,958
	APPLICANT NEAL	
	FILING DATE 12/20/00	GROUP 3623

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.R./	AA	6,697,824	02/24/04	Bowman-Amuah			
/A.R./	AB	6,567,824	05/20/03	Fox			
/A.R./	AC	6,321,207	11/20/01	Ye			
/A.R./	AD	6,202,070	03/13/01	Nguyen et al.			
/A.R./	AE	5,694,551	12/02/97	Doyle et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER /Akiba Robinson Boyce/	DATE CONSIDERED 07/08/2008
------------------------------------	-------------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.